

Growing and Integrating RD Functions

Eva Allen, Indiana University, Bloomington M. S. AtKisson, AtKisson Training Group Joanna Downer, Duke University Susan Grimes, Purdue University





Our Goals Today:

- Provide several models for creating, growing, and integrating Research Development functions
- Discuss effective and ineffective approaches—and why
- Provide you with actionable approaches that you can adapt to your university structure





Why do you want Research Development?

- Increase stature?
- Increase research expenditures?
- Increase number of faculty engage in research?
- Increase faculty satisfaction and success?





Once RD gets started, how do you grow?

- Starting states
- Reactive triggers
- Proactive drivers
- Lessons learned



Growing and Integrating RD Functions: Starting an RD Office

Eva Sanders Allen, PhD
Director of Proposal Development Services,
Indiana University, Bloomington





Starting States

Bottom up:

"I'm interested in a funding opportunity.
 What do I do now?"

Top down:

- School struggled to win large grants.
- School struggled to retain large grants.





Tufts School of Medicine

Vice Provost for Research – Research Development Office

Objective

Service

- Top-down: Develop large proposals
- 2. Top-down: Increase faculty readiness for complex proposals
- → Grant writing Complex proposals
- → Single-PI proposals RD newsletter
- Faculty: Weak reviews → Strategic planning Team-building
- 4. Internal: Strengthen RD team
- → (Shift focus off founder)





Duke School of Medicine

Research Development Office

Objective

Service

- Top-down: Retain large→ Complex proposals grants
- 2. Internal: Mentor/train new RD personnel
- → Single-PI proposals

- 3. Internal: Increase impact of RD office
- → Faculty workshops





Indiana University, Bloomington

Vice Provost for Research - Proposal Development Services

Objective

Service

Pre-existing: limited submissions, internal funding programs, funding searches

- 1. Top-down: Win large grants
- → Complex proposals
- 2. Top-down: Increase proposal activity
- Faculty workshops
- 3. Top-down: Increase faculty readiness for complex proposals
- Single-PI proposals Monthly RD newsletter Strategic planning





Purdue University

Executive Vice President for Research & Partnerships – Research Development Services

Objective

Service

Pre-existing: funding searches, limited submissions

- 1. Top-down: Win large grants
- 2. Top-down: Increase faculty readiness for complex proposals
- Grant writing Complex proposals
- → Faculty workshops
 Faculty agency visits
 Site visit coordination
 Single-PI proposals



Growing and Integrating RD Functions: Reactive Triggers

Joanna Downer, PhD Associate Dean for Research Development, Duke University School of Medicine





Reactive Triggers

- Top-Down: Institutional Goals
- Bottom-Up: Faculty Requests
- External: Review Criticisms

 Grow reactively within the framework of your Research Development priorities





Top-Down: Institutional Goals

- Departmental/Institutional need for more funded grants
 - Identify & target area of greatest opportunity
 - Form and launch appropriate grant development programs





Bottom-Up: Faculty requests

- Need for assistance to _____ faculty to increase application number or success.
 - Develop or expand access to key services/support
- Need for backstopping of external funding gaps
 - Develop internal "bridge" funding programs for applications that just missed funding





External: Reviewer criticisms

- Lack of track record and/or inappropriate scope and scale
 - Mentoring, grant writing guidance, etc.
- Poorly written large proposals, inconsistency, poor administration sections or cores
 - Add training options or expand RD support
- Lack of necessary infrastructure
 - "Manage up" to initiate or improve infrastructure
 - Improve coordination of or access to expertise





React & Respond – Don't Panic

- Fully identify the "real" need(s).
- Identify possible solutions and "model" them
 -- will they improve the identified problem?
- For solution(s) that will have benefit, where could and should they sit?



Growing and Integrating RD Functions: Proactive Drivers

Susan Grimes
Assistant Director of Research Development Services
Purdue University





Proactive RD Growth

- Institutional Vision
- Primary Research Development Goal

Forecasting RD structure and service needs





Institutional Vision

- Institutional goal of increasing external research funding
 - Consideration of current structure and future goals
 - What might be needed to reach future goals?
 - Institution wide or targeted mission?
 - Cost analysis vs anticipated benefit
 - Who's responsible?
 - Existing program
 - New program





Primary RD Goal

- Focus on services related to all areas of grantsmanship and research funding
 - Consider existing and "wish list" of services: grant writing assistance, locating funding, training events, site visit assistance, preparing for large center proposals, etc.
 - RD considerations: staffing, structure/reporting, support from administrators
 - Faculty considerations: release time, infrastructure, equipment, staff/students, team building





Acting on the Vision

- Identify "now" and "later" goals
- Query faculty, staff, and administrators to identify and confirm anticipated needs and participation
- Create plan of action and timeline for growth
- Things change... Revisit your plan every few months to see if plan needs tweaked
- Don't be afraid to give up on things that don't work out as planned



Growing and Integrating RD Functions: Lessons Learned & Take Aways

M. S. AtKisson, PhD AtKisson Training Group, LLC





Where can RD live?

"You can't put *creation* and *regulation* in the same box." – VCR, large state university

- Research development is not administration
- Can be
 - under VP/CR for central coordination
 - Under ADR for school-level support
- The closer to the top, the better coordination with long- and short-term administrative goals





What approaches do not work?

- Location
- Putting Research Development subordinant to Research Administration
 - Faculty often percieve RD as "another hoop" instead of help.
 - This can work, but only when RA sees the unique role of RD, and promotes it





What approaches do not work? - Lack of larger strategy

- Handing RD job to half-time faculty with no resources, training, clear portfolio
- Randomly adding activity responding to "crisis"
- "Hoarding" RD within schools
- Only supporting a favored few





What approaches do not work? - Lack of vision for function

- Hiring for a person, not a function
 - Too dependent on one person without a clear job description
 - Too dependent on one person who is seen as "the person"
- Not coordinating among school-based RD functions





Best way to grow and develop Research Development?

Take time to solidify a vision that provides a solution to identified needs. Identify the type of organization structure and appropriate location(s) for the solution(s) that can best serve your institution's needs.





Bottom Line

RD growth areas

- Readiness for collaborative proposals
- Opportunity searches
- Faculty professional development
- Collaboration infrastructure
- Editing/writing support
- Mentoring
- Research Admin integration

Point: Any of these models work, singly or in combination, when a coherent vision drives the process





Questions, Comments, Stories?